



10/24/03

CASE 4-31625B

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October 23, 2003  
Date of Deposit

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF

ART UNIT: 1626

ALBERT ET AL.

APPLICATION NO: 10/660,442

FILED: SEPTEMBER 11, 2003

FOR: INDOLYLMALEIMIDE DERIVATIVES

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This paper is being filed within three months of the filing date of the application. Therefore, no fees are required. If a fee is deemed to be required, the Commissioner is hereby authorized to charge such fee to Deposit Account No. 19-0134.

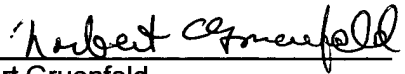
In accordance with 37 C.F.R. §1.56, applicants wish to call the Examiner's attention to the references cited on the attached form(s) PTO-1449.

The listed references are of record in parent Application No. 10/007,308 filed November 5, 2001, and copies are available therein. However, applicants are willing to send copies of any or all of these references at the Examiner's request.

The Examiner is requested to consider the foregoing information in relation to this application and indicate that each reference was considered by returning a copy of the initialed PTO 1449 form(s).

Respectfully submitted,

Novartis  
Corporate Intellectual Property  
One Health Plaza, Building 430  
East Hanover, NJ 07936-1080

  
\_\_\_\_\_  
Norbert Gruenfeld  
Agent for Applicants  
Reg. No. 30,061  
(862) 778-7853

Date: October 23, 2003



FORM PTO-1449  
(REV. 7-85)

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

Sheet 1 of 3

# INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

ATTY. DOCKET NO.  
4-31625B  
APPLICATION NO.  
10/660,442  
APPLICANT  
ALBERT ET AL.  
FILING DATE  
September 11, 2003

Group

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	AA	US 5,057,614	10/15/91	Davis et al.			
	AB	US 5,399,712	3/21/95	Christopher H. Hill			
	AC	US 5,721,245	2/24/98	Davis et al.			
	AD	US 6,040,152	3/21/00	Kupfer et al.			
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	OFFICE	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AM	WO 00/38675	7/6/00	PCT			<input type="checkbox"/>	<input type="checkbox"/>
	AN	EP 0 540 956 B1	5/12/93	Europe			<input type="checkbox"/>	<input type="checkbox"/>
	AO	WO 91/13070	9/5/91	PCT			<input type="checkbox"/>	<input type="checkbox"/>
	AP	WO 91/13071	9/5/91	PCT			<input type="checkbox"/>	<input type="checkbox"/>
	AQ	EP 1 224 932 A1	7/24/02	Europe (WO 01/13916 in English)			<input type="checkbox"/>	<input type="checkbox"/>

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent pages, Etc.)

	AR	Derwent Abstract 2001-234973/24 (WO 01/13916 A1, 3/1/01)
	AS	Coghlan M. et al., "Selective Small Molecule...and Gene Transcription", Chemistry & Biology, Vol. 7, No. 10, pp. 793-803 (2000)
	AT	Faul M.M. et al., "A New One Step Synthesis of Maleimides . . . Esters with Acetamides", Tetrahedron letters, Vol. 40, pp. 1109-1112 (1999)

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial of reference considered, whether or not citation is in conformance with MPEP 609: Draw a line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.

**INFORMATION DISCLOSURE CITATION**

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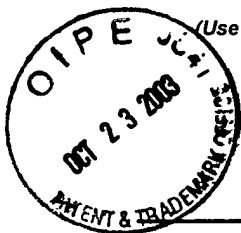
**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent pages, Etc.)

AA	Hendricks, R.T. et al., "2-Aryl-Indolyl Maleimides. . . Protein Kinase C", Bioorganic & Medicinal Chemistry Letters, Vol. 5, No. 1, pp. 67-72 (1995)
AB	Davis P.D. et al., "Inhibitors of Protein Kinase C. . . 2,3-Bisarylmaleimides", J.Med.Chem., Vol. 35, pp. 177-184 (1992)
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	AK						
	AL						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	OFFICE	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AR	WO 02 10158 A	2/7/02	PCT			<input type="checkbox"/>	<input type="checkbox"/>
	AS	WO 01/13916 A (See EP 1,224,932 as the translation)	3/1/01	PCT			<input checked="" type="checkbox"/>	<input type="checkbox"/>
	AT						<input type="checkbox"/>	<input type="checkbox"/>
	AU						<input type="checkbox"/>	<input type="checkbox"/>
	AV						<input type="checkbox"/>	<input type="checkbox"/>

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent pages, Etc.)

	AR	
	AS	

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